## 90 Rec d PCT/PTO 25 JUL 2000

**FORM PTO-1390** U.S. DEPARTMENT OF COMMERCE ATTORNEY DOCKET NO. (REV 5-93) PATENT AND TRADEMARK OFFICE P107424-00001 DATE: July 25, 2000 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** U.S. APPLN. NO. (IF KNOWN, SEE 37 CFR 1.5) 09/508,519 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP98/04286 September 25, 1998 September 27, 1997 TITLE OF INVENTION: COATING COMPOSITION AND OPTICAL RECORDING MEDIUM APPLICANT(S) FOR DO/EO/US: Tsuyoshi KOMAKI This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. (THE BASIC FILING FEE IS ATTACHED) 2. XX This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. \_\_ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT articles 22 and 39(1). 4. A proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. \_\_ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. \_\_ is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. c. \_\_\_ is not required, as the application was filed in the United States Receiving Office (RO/US) 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. \_\_ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. \_\_ are transmitted herewith (required only if not transmitted by the International Bureau). b. \_\_ have been transmitted by the International Bureau. c. \_\_ have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. \_\_ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. XX A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: 11. \_\_ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. \_\_ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. \_\_ A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14. \_\_ A substitute specification.

A change of power of attorney and/or address letter.

Notification of Transmittal of Copies of Translation of the International Preliminary Examination Report (PCT/IB/338)

16. XX Other items or information:

U.S. APPLN. NO. (IF KNC	WN, SEE 37	INTERNATIONAL APPLICATION		ATTORNEY DOCKET NO. P107424-00001	
C.F.R. 1.50) 09/508,519		NO. PCT/JP98/04286		DATE: July 25, 2000	
17. XX The following fees are submitted:  Basic National Fee (37 CFR 1.492(a)(1)-(5):  Search Report has been prepared by the EPO or JPO				CALCULATIONS	PTO USE ONLY
ENTER APPROPRIATE BASIC FEE AMOUNT =					
Surcharge of \$130.00 for furnishing the oath or declaration later than _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	20 =	0	X \$ 18.00		70
Independent Claims	3 =	0	X \$ 78.00		TO SE OF
Multiple dependent claim(s) (if applicable) + \$260.00				のこの	
TOTAL OF ABOVE CALCULATIONS =					22
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).					Zano Zano
SUBTOTAL =					, al
Processing fee of \$130.00 for furnishing the English translation later the _ 20 _ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +					
TOTAL NATIONAL FEE =					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					
TOTAL FEES ENCLOSED =					
				Amount to be refunded	\$
				Charged	\$
<ul> <li>a A check in the amount of \$ to cover the above fees is enclosed.</li> <li>b Please charge my Deposit Account No. <u>01-2300</u> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> <li>c. <u>XX</u> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01-2300</u>.</li> <li>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))</li> </ul>					
must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Arent Fox Kintner Plotkin & Kahn, PLLC 1050 Connecticut Avenue, N.W., Suite 600 Washington, D.C. 20036-5339 Telephone No. (202) 857-6000 Facsimile No. (202) 638-4810  Arent Fox Kintner Plotkin & Kahn, PLLC 1050 Connecticut Avenue, N.W., Suite 600 David T. Nikaido Reg. No. 22,663					

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